

# Stable Reduction of Algebraic Curves

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# Stable Reduction

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## 1 Goal of this talk

Let  $\mathcal{M}_g$  be the moduli stack of smooth genus  $g \geq 2$  curves. The goal of the conference is to study  $H^*(\mathcal{M}_g, \mathbb{Q})$ . An essential tool for such a study is the Deligne-Mumford compactification

$$\mathcal{M}_g \subset \overline{\mathcal{M}}_g = \{\text{stable curves}\}.$$

Later today, we shall see that  $\overline{\mathcal{M}}_g$  exists as a Deligne-Mumford stack. Now, I shall prove:

**Theorem 1** (Deligne-Mumford, '69).  $\overline{\mathcal{M}}_{g/\mathbb{C}}$  is proper. Equivalently,  $\overline{\mathcal{M}}_g(\mathbb{C})$  is compact Hausdorff.

To see why these notions are equivalent, first observe that  $\overline{\mathcal{M}}_g(\mathbb{C})$  is compact Hausdorff if and only if  $\overline{\mathcal{M}}_g$  is proper over  $\mathbb{C}$ . Moreover,  $\overline{\mathcal{M}}_g$  is proper if and only if  $\overline{M}_g$  is: the morphism  $\overline{\mathcal{M}}_g \rightarrow \overline{M}_g$  is finite, and the Keel-Mori theorem says that the coarse moduli space of a separated Deligne-Mumford stack  $\mathcal{X}$  is a separated algebraic space, hence proper if the stack  $\mathcal{X}$  is proper.

### 1.1 Notation

- All schemes and stacks defined over  $\mathbb{C}$ .
- An algebraic variety is a reduced and separated scheme of finite type over  $\mathbb{C}$ .
- A curve (/surface) is a complete algebraic variety all whose irreducible components are of dimension one (/two).

## 2 Nodal and stable curves

Let  $C = \cup_i C_i$  be a curve with normalization  $\pi : \tilde{C} \rightarrow C$ . We claim that  $\mathcal{O}_C \rightarrow \pi_* \mathcal{O}_{\tilde{C}}$  is injective. Indeed, let  $U$  be an affine open subset of  $C$  and let  $A = \mathcal{O}_C(U)$ , let  $\mathfrak{p}_i$  be the prime ideal corresponding to the generic point  $\xi_i$  of  $C_i$ : we have a finite homomorphism  $\varphi : A \rightarrow \oplus_i A/\mathfrak{p}_i$ , and  $\sqrt{(0)} = \cap_{\min \mathfrak{p}} (0) = (0)$  ( $A$  is reduced) hence  $\varphi$  is injective. Moreover,  $\varphi$  induces  $\text{Frac}(A) \cong \oplus_i \text{Frac}(A/\mathfrak{p}_i)$ . Since  $V(\mathfrak{p}_i) = \text{Spec}(A/\mathfrak{p}_i)$  is an open subset of  $C_i$ ,  $V(\mathfrak{p}_i)$  is integral, hence  $A/\mathfrak{p}_i$  is an integral domain. Finally, the integral closure  $\tilde{A}$  of  $A$  in  $\text{Frac}(A)$  is  $\oplus (A/\mathfrak{p}_i)'$ , where  $(A/\mathfrak{p}_i)'$  is the integral closure of the domain  $A/\mathfrak{p}_i$  in the field  $\text{Frac}(A/\mathfrak{p}_i)$ .

We may thus define a coherent sheaf  $\mathcal{S}$  on  $C$  by the exact sequence

$$0 \rightarrow \mathcal{O}_C \rightarrow \pi_* \mathcal{O}_{\tilde{C}} \rightarrow \mathcal{S} \rightarrow 0.$$

Here  $\mathcal{S}$  is a skyscraper sheaf supported on  $C_{\text{sing}}$ . If  $\delta_x = \dim_{\mathbb{C}} \mathcal{S}_x$ , we obtain  $n - \sum_i p_a(C'_i) = \sum_i \chi(\mathcal{O}_{C'_i}) = \chi(\mathcal{O}_{\tilde{C}}) = \chi(\pi_* \mathcal{O}_{\tilde{C}}) = \chi(\mathcal{O}_C) + \chi(\mathcal{S}) = 1 - p_a(C) + \dim H^0(C, \mathcal{S}) = 1 - p_a(C) + \sum_x \delta_x$ .

**Lemma 2.** Consider the curve  $C = \cup_{i=1}^n C_i$  as above. Then

$$p_a(C) + n - 1 = \sum_{i=1}^n p_a(C'_i) + \sum_x \dim \delta_x.$$

□

**Proposition 3.** *Let  $x \in C$ . The following are equivalent:*

1.  $\pi^{-1}(x) = \{\alpha, \beta\}$  for some  $\alpha, \beta \in \tilde{C}$  and  $\delta_x = 1$ ,

2. We have an isomorphism

$$\hat{\mathcal{O}}_{X,x} \cong \mathbb{C}[[x, y]]/(xy).$$

3. We have an isomorphism

$$\hat{\mathcal{O}}_{X^{\text{an}},x} \cong \mathbb{C}[[x, y]]/(xy).$$

4. Consider the analytic subset  $X = \{xy = 0\} \subset \mathbb{C}^2$ . There is an open neighborhood  $x \in U \subset C^{\text{an}}$  and an open neighborhood  $0 \in V \subset X$  such that  $(U, x) \cong (V, 0)$ .

*Proof.* The direction 4  $\implies$  3 is clear. For 3  $\iff$  2, this follows from the fact that for any locally algebraic scheme  $X$  over  $\mathbb{C}$ , the morphism of ringed spaces  $X^{\text{an}}$  induces an isomorphism on completed local rings [SGA, 1, Exposé XII]. For 2  $\iff$  1, see [1, 7.5.15]. We claim that 1  $\implies$  4. By [1, proof of 10.3.7(d)],  $C$  is locally a closed (hence principal) subscheme of a smooth surface. If  $S$  is this surface, then  $S^{\text{an}}$  looks locally like  $\mathbb{C}^2$ , hence  $C$  is determined locally by a holomorphic function  $f : \mathbb{C}^2 \rightarrow \mathbb{C}$ . Then  $f(0) = \partial f/\partial x(0) = \partial f/\partial y(0) = 0$ , and that the Hessian of  $f$  at 0 is non-singular. Therefore 4 holds by [2, 10.2.3].  $\square$

**Definition 4.** *Let  $C$  be a curve. A point  $x \in C$  is a node if the above conditions are satisfied. A family of nodal curves is a proper flat morphism of schemes*

$$\varphi : \mathcal{X} \rightarrow B$$

*such that every geometric fiber is a nodal curve. We also say that  $\varphi$  is a nodal  $S$ -curve.*

**Lemma 5.** *Let  $f : C \rightarrow S$  be a nodal  $S$ -curve. Then  $f$  is a local complete intersection.*

*Proof.* Since  $f$  is flat and of finite type, it suffices to prove this in the case where  $S$  is the spectrum of an algebraically closed field  $k$ . We use the following

**Lemma 6.** [1, 6.2.4] *Let  $X \rightarrow S$  be a morphism of finite type over a locally Noetherian scheme  $S$ . Fix  $s \in S$ ,  $x \in X_s$ , and let  $d = \dim_{k(x)} \Omega_{X_s/k(s),x}^1 \otimes_{\mathcal{O}_{X_s,x}} k(x)$ . Then in a neighborhood of  $x$ ,  $X \rightarrow S$  factors into a closed immersion  $X \rightarrow Z$  followed by a morphism  $Z \rightarrow S$  which is smooth at  $x$ , and such that  $\dim_x Z_s = d$  and that  $\Omega_{Z/S,x}^1$  is free of rank  $d$  over  $\mathcal{O}_{Z,x}$ .*  $\square$

Hence  $C$  is locally a closed - hence principal - subscheme of a smooth surface over  $k$ .  $\square$

**Corollary 7.** *Let  $C$  be a nodal curve. Then  $C$  has a canonical sheaf  $\omega_C$  [1, 6.4.7] which is isomorphic to the dualizing sheaf  $\omega_C^o$ . In particular, the dualizing sheaf  $\omega_C^o$  is invertible.*

*Proof.* See [3, III.7.11].  $\square$

**Proposition 8.** *Let  $C$  be a connected nodal curve of genus  $g = p_a(C) \geq 2$ . The following are equivalent:*

1. Let  $E$  be a smooth rational irreducible component of  $C$ . Then  $E$  intersects the other components of  $C$  in more than 2 points.

2.  $|\text{Aut}(C)| < \infty$ .

3.  $\omega_C$  is ample.

*Proof.* The equivalence of 1 and 2 is clear. Now let  $Q$  be the set of points of  $\tilde{C}$  lying over nodes of  $C$ , and let  $\{C_i\}$  be the irreducible components of  $C$ . For the equivalence of 2 and 3, one proceeds to show that, by the description of  $\omega_C$  in terms of meromorphic differentials,

$$\deg(\omega|_{C_i}) = 2g(\tilde{C}_i) - 2 + |(Q \cap \tilde{C}_i)|. \quad (1)$$

Now  $\text{Aut}(C)$  is finite if and only if the right side of (1) is larger than zero. Since a line bundle on a curve is ample if and only if its degree is positive on every irreducible component, we win.  $\square$

**Definition 9.** Let  $C$  be a curve. Then  $C$  is called *stable* if  $C$  is nodal,  $g(C) = H^1(C, \mathcal{O}_C) \geq 2$ , and the above conditions are satisfied. Let  $S$  be a scheme. A *stable curve of genus  $g$  over  $S$*  is a proper flat morphism  $\pi : C \rightarrow S$  whose geometric fibers are stable curves of genus  $g$ .

The following theorem is useful for constructing the moduli space of genus  $g$  stable curves:

**Theorem 10** (Deligne-Mumford). Let  $C$  be a stable curve. Then  $\omega_C^{\otimes 3}$  is very ample.  $\square$

### 3 Stable Reduction

Recall: our goal was to prove that  $\overline{\mathcal{M}}_g$  (assuming it exists as a finite type Deligne-Mumford stack over  $\mathbb{C}$ ) is proper over  $\mathbb{C}$ . The first step is separation. Why carry about stable curves? Let  $C$  be a smooth curve of genus  $g \geq 2$  and let  $p$  be a point on  $C$ . Let  $X = C \times C$  and let  $Y$  be the blow-up of  $X$  along  $(p, p)$ . Then  $\pi : Y \rightarrow C$  is a family of nodal curves of fiber  $C_t = \pi^{-1}(t) = C$  whenever  $t \neq p$ , and  $C_p$  the union  $C \cup_P \mathbb{P}^1$ , the curve  $C$  glued to  $\mathbb{P}^1$  at the point  $P$ . Both  $X \rightarrow C$  and  $Y \rightarrow C$  are families of nodal curves, extending the smooth curve  $X \setminus \{p, p\} \rightarrow C \setminus \{p\}$ . We conclude that compactifying  $\mathcal{M}_g$  by throwing in all nodal curves gives a non-separated moduli space. Restricting to stable curves solves this problem:

**Proposition 11.** Let  $X$  and  $Y$  be stable curves over a discrete valuation ring  $R$  with algebraically closed residue field. Denote by  $\eta$  and  $s$  the generic and closed points of  $\text{Spec } R$ , and assume that the generic fibres  $X_\eta$  and  $Y_\eta$  of  $X$  and  $Y$  are smooth. Then any isomorphism  $\varphi_\eta$  between  $X_\eta$  and  $Y_\eta$  extends to an isomorphism  $\varphi$  between  $X$  and  $Y$ .

*Proof.* Start with a smooth curve  $X_\eta$  of genus  $g \geq 2$  over the quotient field  $K$  of  $R$ , and let  $X$  be a stable curve over  $R$  with  $X_\eta$  as its generic fibre. Now given a smooth curve  $C$  of genus  $g \geq 1$  over  $K$ , there is, up to canonical isomorphism, at most one regular 2-dimensional scheme  $Y$ , proper and flat over  $R$ , with  $C$  as its generic fibre, without exceptional curves of the first kind in  $Y_s$ . One can show that the existence of  $X$  implies the existence of a minimal model  $Y$  of  $X_\eta$ , and moreover that  $X$  is the normal scheme obtained from  $Y$  by contracting all non-singular rational components of  $Y_s$  linked to the other irreducible components by exactly two points.  $\square$

In other words, stack  $\overline{\mathcal{M}}_g$  of stable curves is *separated*. The following fundamental theorem, called the Stable Reduction Theorem, implies that  $\overline{\mathcal{M}}_g$  is even proper over  $\mathbb{C}$ :

**Theorem 12** (Stable Reduction). Let  $\mathcal{X} \rightarrow B$  be a proper flat curve over a smooth pointed curve  $(B, 0)$  such that the restriction  $\mathcal{X}^* \rightarrow B \setminus \{0\}$  is a stable genus  $g \geq 2$  curve. There exists a finite cover  $B' \rightarrow B$ , totally ramified over 0, and a stable genus  $g$  curve  $\tilde{\mathcal{X}} \rightarrow B'$  over  $B'$  such that

$$\tilde{\mathcal{X}}|_{(B')^*} \cong \mathcal{X}^* \times_{B^*} (B')^*.$$

Instead of giving a complete proof, we first give an example and then give a sketch of the proof.

**Example 13.** [4] Consider a smooth projective surface  $S$  and an ample line bundle  $L$  on it, and let  $\mathbb{P}^1 \subset \mathbb{P}H^0(S, L)$  be a projective line. This gives a pencil of curves  $\{C_t\}$  on  $S$ ; suppose that  $C_t$  is a smooth curve for  $t$  in a punctured neighborhood of  $t = 0$ , but that  $C := C_0$  is a curve with one cusp  $p \in C_0$ . We can write the equation of the curve in a neighborhood of  $p$  and  $t = 0$  as

$$F(x, y) + t \cdot G(x, y) = 0,$$

with  $G$  nonzero at  $p$ . Locally, such a pencil will look like  $y^2 = x^3 + t$ . Let  $\tilde{C} \rightarrow C$  be the normalization of  $C$ . Then the stable limit is  $\tilde{C} \cup_p E$ , the curve  $\tilde{C}$  with an elliptic tail at  $p \in \tilde{C}$ .

*Proof.* Let  $\mathcal{X} \subset \mathbb{P}^1 \times S$  be the total space of the family, and write the family by  $\pi : \mathcal{X} \rightarrow B$ . Notice that  $\mathcal{X}$  is smooth. We have  $C = X_0$ , an effective irreducible divisor on  $\mathcal{X}$ . First blow up the point  $p \in C$ : write  $\varphi_1 : Bl_p \mathcal{X} = \mathcal{X}_1 \rightarrow \mathcal{X}$ .

This amounts to replacing the divisor  $C$  by  $\varphi^*C = \tilde{C} + 2E_1$ , where  $E_1 \cong \mathbb{P}^1$ . Note that

$$\tilde{C} \cdot E_1 = (\varphi^*C - 2E_1) \cdot E_1 = -2E_1^2 = 2.$$

If  $\tilde{C} \cap E_1 = \{p_1, p_2\}$ , then there are two points of  $\tilde{C}$  lying over the node  $p \in C$ ; this is absurd, hence  $\tilde{C}$  and  $E_1$  intersect in a single point  $p \in \mathcal{X}_1$ , and have intersection multiplicity 2 there. Next, we blow up the point  $p \in \mathcal{X}_1$  to get  $\mathcal{X}_2$ : write  $\varphi : \mathcal{X}_2 \rightarrow \mathcal{X}_1$ . This creates an extra smooth rational curve  $E_2 \subset \mathcal{X}_2$ . Note that  $\varphi^*(\tilde{C} + 2E_1) = \tilde{C} + 2E_1 + 3E_2$  as divisors on  $\mathcal{X}_2$ . One observes that

$$\tilde{C} \cdot E_1 = (\tilde{C} - E_2) \cdot (E_1 - E_2) = \tilde{C} \cdot E_1 + E_2^2 = 2 - 1 = 1.$$

Similarly,  $\tilde{C} \cdot E_2 = E_1 \cdot E_2 = 1$ . Suppose that  $\tilde{C}$  meets  $E_1$  and  $E_2$  in different points. Since  $\tilde{C}$  and  $E_1$  meet transversally now, and their intersection number on  $\mathcal{X}_2$  outside  $E_2$  is the same as their intersection number on  $\mathcal{X}_1$ , so this is absurd. Hence  $\tilde{C}$  intersects  $E_1$  and  $E_2$  in the same point  $p \in \mathcal{X}_2$ . We have:

$$\varphi_2^*(\tilde{C} + 2E_1) = \tilde{C} + 2E_1 + 3E_2.$$

Next, we blow up  $p \in \mathcal{X}_2$ : write  $\varphi_3 : \mathcal{X}_3 \rightarrow \mathcal{X}_2$  for this morphism. Note that  $\tilde{C} \cdot E_1 = (\varphi^*\tilde{C} - E_3) \cdot (\varphi^*E_1 - E_3) = \tilde{C} \cdot E_1 + E_3^2 = 0$ , and similarly  $\tilde{C} \cdot E_2 = E_1 \cdot E_2 = 0$ . Moreover,

$$\varphi_3^*(\tilde{C} + 2E_1 + 3E_2) = \tilde{C} + 2E_1 + 3E_2 + 6E_3.$$

We have thus arrived at a family whose reduced special fiber has only nodes as singularities; but the special fiber is non-reduced, have components of multiplicity 2, 3 and 6.

**Definition 14.** For any divisor  $D = \sum a_i D_i$  on a surface, and  $p \in \mathbb{Z}$ , define  $D_{\equiv p}$  to be the divisor  $D_{\equiv p} = \sum \bar{a}_i D_i$  where  $0 \leq a_i \leq p - 1$  and  $\bar{a}_i \equiv a_i \pmod{p}$ .

**Lemma 15.** Consider our family  $\mathcal{X} \rightarrow B$  above, with special fiber  $X_0 = D = \sum a_i D_i$ . For any prime number  $p$ , let  $\tilde{\mathcal{X}}$  be the normalization of the base change of  $\mathcal{X} \rightarrow B$  along  $B \rightarrow B, t \mapsto t^p$ . Then  $\tilde{\mathcal{X}} \rightarrow \mathcal{X}$  is a finite cover whose ramification divisor is  $D_{\equiv p} \subset \mathcal{X}$ . Moreover,  $\tilde{\mathcal{X}}$  is smooth if  $D_{\equiv p}$  is smooth.

*Proof.* Let  $\mathcal{X}' \rightarrow B$  be the base change of  $\mathcal{X} \rightarrow B$  along  $B \rightarrow B, t \mapsto t^p$ . Then  $\mathcal{X}' \rightarrow \mathcal{X}$  is a degree  $p$  cover ramified along  $D = \{t = 0\}$ , so that the local equation of the surface  $\mathcal{X}'$  is  $\{(u, x) \in B \times \mathcal{X} : u^2 = \pi(x) = t\}$  everywhere. Let  $E \subset \mathcal{X}$  be a component of multiplicity  $m = a + pk$ ,  $0 \leq a \leq p - 1$  in the special fiber. Let  $p \in D$  and let  $g \in \mathfrak{m}_p \subset \mathcal{O}_{\mathcal{X}, p}$  be the Cartier divisor defining  $D_{\text{red}}$  around  $p$ . Then in a neighborhood of  $p$ ,  $t = g^m$ , so that the local equation of  $\mathcal{X}'$  will be

$$\{(u, x) : u^p = g^m(x)\}.$$

If  $m > 1$ , this will be singular along the inverse image of  $D$ . The normalization process will replace  $u$  by a local coordinate  $v = u/g^{\lfloor m/p \rfloor} = u/g^k$ , so that the local equation of the normalization will be

$$v^p = \frac{u^p}{g^{kp}} = \frac{g^m}{g^{kp}} = g^a.$$

So indeed,  $\tilde{\mathcal{X}} \rightarrow \mathcal{X}$  is a ramified degree  $p$  cover, whose ramification divisor is  $D_{\equiv p}$ .  $\square$

In our case,  $D = (t) \subset \mathcal{X}$  reduced mod 2 is  $D_{\equiv 2} = \tilde{C} + E_2$ . Since  $D_{\equiv 2}$  is smooth,  $\tilde{\mathcal{X}}$  will be smooth as well. The inverse images of  $E_2$  and  $\tilde{C}$  will be curves mapping isomorphically to them. Since  $E_3$  meets the branch locus in two points, its inverse image  $Y$  will be a smooth double cover of  $E_3 \cong \mathbb{P}^1$  ramified at two points: by Riemann-Hurwitz, this gives  $2g(Y) - 2 = 2(-2) + 2 = -2 \implies g = 0$ :  $Y$  is a single rational curve and we write  $E_3 = Y \subset \mathcal{X}$ . Since  $E_1$  is disjoint from the branch locus,  $\varphi^{-1}E_1$  is an unramified cover of  $E_1 \cong \mathbb{P}^1$ : two disjoint smooth rational curves which we call  $E_1'$  and  $E_1''$ . The pullback to  $\tilde{\mathcal{X}}$  of the divisor  $D = (t)$  on  $\mathcal{X}$  is

the sum of the components of the inverse image of the special fiber in  $\mathcal{X}$ , which multiplicities unchanged from that of the corresponding component of  $(t)$  on  $\mathcal{X}$  for those components that are not contained in the branch divisor, and which multiplicity doubled for components in the branch divisor. Therefore, we have:

$$\varphi^*D = 2\tilde{C} + 2E'_1 + 2E''_1 + 6E_2 + 6E_3.$$

The special fiber  $(u)$  of the new family  $\tilde{\mathcal{X}} \rightarrow B$  is exactly one-half of this divisor: thus

$$(u) = \tilde{X}_0 = \tilde{C} + E'_1 + E''_1 + 3E_2 + 3E_3.$$

Write  $\mathcal{X} \rightarrow B$  for the new family, with special fiber  $D = (u)$  as given above. Make a base change of order 3. Note that  $p^{\text{th}}$  order covers of  $\mathbb{P}^1$  totally ramified along two points have genus  $g$  determined by

$$2g - 2 = -2p + 2(p - 1);$$

that is,  $g = 0$ . Note that  $D_{\equiv 3} = \tilde{C} + E'_1 + E''_1$ . Write  $\varphi : \tilde{\mathcal{X}} \rightarrow \mathcal{X}$  for the 3rd order cover ramified along  $D_{\equiv 3}$  as above. The inverse images of  $\tilde{C}, E'_1$  and  $E''_1$  are copies of themselves. Since  $E_2$  is disjoint from  $D_{\equiv 3}$ , its inverse image is a disjoint union of three smooth rational curves, which we call  $E'_2, E''_2$  and  $E_2'''$ . The inverse image  $E$  of  $E_3$  is a smooth triple cover of  $E_3 \cong \mathbb{P}^1$  totally ramified over three points. Riemann-Hurwitz gives

$$2g(E) - 2 = -6 + 3 \cdot 2 \implies g(E) = 1.$$

In other words,  $E$  is an elliptic curve. We have

$$\varphi^*D = 3\tilde{C} + 3E'_1 + 3E''_1 + 3E'_2 + 3E''_2 + 3E_2''' + 3E.$$

Let  $v$  be the local coordinate on  $B$  such that  $v^3 = u$ . Write  $\pi : \mathcal{X} \rightarrow B$  as the new family thus obtained. Then

$$(v) = X_0 = \tilde{C} + E'_1 + E''_1 + E'_2 + E''_2 + E_2''' + E.$$

Then  $\pi : \mathcal{X} \rightarrow B$  is a family whose special fiber  $X_0$  is a reduced curve with only nodes as singularities. Note that for any component  $F$  in the special fiber  $X_0$  we have  $F \cdot X_0 = 0$ , since in fact  $X_0$  is a principal Cartier divisor, defined by the meromorphic function  $v : \mathcal{X} \rightarrow \mathbb{P}^1$ ,  $v \in \mathbb{C}(\mathcal{X})$ . It follows that for any rational curve  $F$  in  $X_0$ , we have

$$F \cdot X_0 = F^2 + F \cdot E = F^2 + 1 = 0 \implies F^2 = -1.$$

Hence  $F$  is an exceptional curve of the first kind and can be contracted by Castelnuovo's theorem [3, V.5.7]. Blowing down the five curves of this tape, we arrive at a family

$$\pi : \mathcal{X} \rightarrow B$$

whose special fiber consists of the union of the normalization  $\tilde{C}$  of the original curve together with the elliptic curve  $E$  (called an *elliptic tail*), joined at the point of  $\tilde{C}$  lying over the cusp of  $C$ . Then  $\pi : \mathcal{X} \rightarrow B$  is the stable reduction. (Note that  $E$  is the unique elliptic curve with an automorphism of order 3. Its  $j$ -invariant is  $j(E) = 0$ .)  $\square$

## 4 Proof of Stable Reduction

- I. We may assume that our family  $\pi : \mathcal{X} \rightarrow B$  is smooth over  $B \setminus \{0\}$ . Indeed, this follows from the fact that we have already proved that  $\overline{\mathcal{M}}_g$  is separated (see Proposition 11) so this follows from [5, Lemma 0CQM].
- II. Apply resolution of singularities to the pair  $(\mathcal{X}, X_0)$ : thus we may assume that  $\mathcal{X}$  is smooth, and that  $(X_0)_{\text{red}}$  is a normal crossings divisor. At this point, the map  $\pi$  will be given by an equation of the form  $t = x^a y^b$  in terms of a local coordinate  $t$  on  $B$  and local coordinates  $x$  and  $y$  on  $\mathcal{X}$ .

- III. Let  $m$  be the least common multiple of the multiplicities of the components of the special fiber  $X_0$ . Make a base change  $t \mapsto t^m$  and normalize the resulting total space. A local calculation then shows that  $X_0$  has reduced normal crossings and the map  $\pi$  has local equation of the form either  $t^n = x$  or, at nodes of the special fiber,  $t^n = xy$  where  $t$  is again a local coordinate on  $B$ . In the latter case, the total space  $\mathcal{X}$  will be smooth at the node if and only if  $n = 1$ . If  $n > 1$ , there is an  $A_{n-1}$  singularity at the node. In any case,  $X_0$  is now reduced and nodal.
- IV. Minimally resolve the  $A_{n-1}$  singularities that arise. This has the effect of replacing each singularity by a chain of  $(n - 1)$  smooth rational curves. Now we have a family  $\mathcal{X} \rightarrow B$  with smooth total space and reduced, nodal special fiber.
- V. Blow down all exceptional curves of the first kind: these are smooth rational components of  $X_0$  meeting the rest of  $X_0$  only once. This gives the minimal model  $\mathcal{X} \rightarrow B$  of  $\mathcal{X}$ : given any smooth curve  $Y \rightarrow B \setminus \{0\}$ , there is, up to canonical isomorphism, at most one regular surface  $\bar{Y}$  together with a flat and proper morphism  $\bar{Y} \rightarrow B$ , restricting to  $Y$  over  $B \setminus \{0\}$ , without exceptional curves of the first kind.
- VI. To obtain stable reduction, blow down all semistable chains of smooth rational curves: that is, chains of smooth rational curves of self-intersection  $-2$ . □

## 5 Stable Reduction in all characteristics

There is in fact a stronger version of Theorem 12:

**Theorem 16** (Deligne-Mumford, '69). *Let  $R$  be a discrete valuation ring with fraction field  $K$ . Let  $\eta$  and  $s$  be the generic and closed point of  $\text{Spec}(R)$  respectively. Let  $C$  be a smooth geometrically irreducible curve over  $K$  of genus  $g \geq 2$ . There exists a finite algebraic extension  $L$  of  $K$  and a stable curve  $\mathcal{C}_L \rightarrow \text{Spec}(R_L)$ , where  $R_L$  is the integral closure of  $R$  in  $L$ , such that  $\mathcal{C}_{L,\eta} \cong C \times_K L$ .*

*Sketch of the proof.* Let  $\mathcal{C}$  be the minimal model of  $C$  over  $R$ :  $\mathcal{C}$  is to be a regular scheme, flat and proper over  $R$ , with generic fiber  $\mathcal{C}_\eta = C$ , such that for any other regular scheme  $\mathcal{C}'$ , flat over  $R$  with generic fiber  $\mathcal{C}'_\eta = C$ , the birational map  $\mathcal{C}' \rightarrow \mathcal{C}$  is a morphism. This scheme exists [8], [7] and is clearly unique for these properties. Moreover,  $\mathcal{C}$  is projective over  $R$  ([7], see also [1, Theorem 8.3.16]).

Let  $A$  be an abelian variety over  $K$ . Let  $\mathcal{A}^0$  be the identity component of the Néron model of  $A$  over  $R$ . We say that  $A$  has *semi-abelian reduction over  $R$*  if  $\mathcal{A}_s^0$  is a semi-abelian variety. That is, there is an exact sequence of algebraic groups

$$0 \rightarrow T \rightarrow \mathcal{A}_s^0 \rightarrow B \rightarrow 0$$

where  $T$  is a torus and  $B$  an abelian variety over  $k(s)$ . Moreover, we say that  $C$  has stable reduction in *sense 1* if  $\mathcal{C}_s$  is a nodal curve. We say that  $C$  has stable reduction in *sense 2* if there is a stable curve  $\mathcal{X}$  over  $R$  with generic fibre  $\mathcal{X}_\eta = C$ .

**Proposition 17.** *The two senses of stable reduction for  $C$  are equivalent.*

*Proof.* See [6, Proposition 2.3]. □

Let  $J$  be the Jacobian of  $C$ . It is shown in [6, Theorem 2.4] that  $J$  has stable reduction if and only if  $C$  has stable reduction. Moreover, there is the following

**Theorem 18** (Grothendieck). *Let  $R$  be a discrete valuation ring with quotient field  $K$ . Let  $A$  be an abelian variety over  $K$ . Then there exists a finite algebraic extension  $L$  of  $K$  such that, if  $R_L$  is the integral closure of  $R$  in  $L$ , then  $A \times_K L$  has semi-abelian reduction over  $R$ .*

This concludes the proof. □

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